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16 UNITED STATES OF AMERICA

17 UNITED STATES DISTRICT COURT

18 FOR THE CENTRAL DISTRICT OF CALIFORNIA

19 UNITED STATES OF AMERICA,) CR No. 11-436 (A) -MRW
20)
21 Plaintiff,) PLEA AGREEMENT FOR DEFENDANT
22) HUGO RENE BAQUIAX
23 v.)
24)
25 HUGO RENE BAQUIAX,)
26)
27 Defendant.)
28)

1. This constitutes the plea agreement between HUGO RENE
2 BAQUIAX ("defendant") and the United States Attorney's Office for
3 the Central District of California ("the USAO") in the above-
4 captioned case. This agreement is limited to the USAO and cannot
5 bind any other federal, state, local, or foreign prosecuting,
6 enforcement, administrative, or regulatory authorities.

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DEFENDANT'S OBLIGATIONS

2. Defendant agrees to:

a) At the earliest opportunity requested by the USAO and provided by the Court, appear and plead guilty to a single-count first superseding information.

b) Not contest facts agreed to in this agreement.

c) Abide by all agreements regarding sentencing factors and the appropriate sentence contained in this agreement.

9 d) Appear for all court appearances, surrender as
10 ordered for service of sentence, obey all conditions of any bond,
11 and obey any other ongoing court order in this matter.

12 e) Not commit any crime; however, offenses that would
13 be excluded for sentencing purposes under United States
14 Sentencing Guidelines ("U.S.S.G." or "Sentencing Guidelines")
15 § 4A1.2(c) are not within the scope of this agreement.

16 f) Be truthful at all times with Pretrial Services, the
17 United States Probation Office, and the Court.

18 g) Pay the applicable special assessment at or before
19 the time of sentencing.

THE USAO'S OBLIGATIONS

3. The USAO agrees to:

a) Not contest facts agreed to in this agreement.

23 b) Abide by all agreements regarding sentencing factors
24 and the appropriate sentence contained in this agreement.

25 c) Except for criminal tax violations (including
26 conspiracy to commit such violations chargeable under 18 U.S.C.
27 § 371), not further criminally prosecute defendant for any
28 violations arising out of defendant's conduct described in the

1 factual basis at paragraph 11. Defendant understands that the
2 USAO is free to criminally prosecute defendant for any other
3 unlawful past conduct or any unlawful conduct that occurs after
4 the date of this agreement. Defendant agrees that at the time of
5 sentencing the Court may consider the uncharged conduct in
6 determining the appropriate sentence after consideration of the
7 relevant factors under 18 U.S.C. § 3553(a).

8 NATURE OF THE OFFENSE

9 4. Defendant understands that for defendant to be guilty of
10 the crime charged in the single-count information, a violation of
11 Title 8, United States Code, Section 1324a(a)(1)(A) and (a)(2),
12 the following must be true: (1) defendant hired for employment an
13 alien (that is, a person who is not a natural-born or naturalized
14 citizen, or a national, of the United States); (2) with respect
15 to that employment, defendant knew that the alien was or had
16 become an unauthorized alien as the term is defined in 8 U.S.C.
17 § 1324a(h)(3); and (3) defendant continued to employ that alien.
18 Under 8 U.S.C. § 1324a(h)(3), the term "unauthorized alien"
19 means, with respect to the employment of an alien at a particular
20 time, that the alien is not at that time either (A) an alien
21 lawfully admitted for permanent residence, or (B) authorized to
22 be so employed by 8 U.S.C. § 1324a or by the Attorney General or
23 the Secretary of Homeland Security.

24 5. To be subject to the criminal penalties in Title 8,
25 United States Code, Section 1324a(f)(1), defendant must have
26 engaged in a pattern or practice of violations of 8 U.S.C.
27 § 1324a(a)(1)(A) and/or (a)(2).

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PENALTIES

2 6. Defendant understands that statutory maximum penalties
3 that the Court can impose for a violation of Title 8, United
4 States Code, Section 1324a(a)(1)(A) and (a)(2), where the
5 penalties in Section 1324a(f)(1) apply, is: 6 months
6 imprisonment and a 1-year period of supervised release, or 5
7 years probation; a fine of \$3,000 for each unauthorized alien
8 with respect to whom such a violation occurs; and a mandatory
9 special assessment of \$25. As set forth in paragraph 11, the
10 defendant admits that he engaged in a pattern and practice of
11 violating 8 U.S.C. § 1324a(a)(2)(1)(A) and (a)(2), and thus the
12 penalties in Section 1324a(f)(1) apply. Defendant also admits
13 that the total number of unauthorized aliens involved in the
14 charged offense is 20.

15 7. Defendant understands that the total maximum sentence
16 for all offenses to which defendant is pleading guilty is: 6
17 months imprisonment and a 1-year period of supervised release, or
18 5 years probation; a fine of \$60,000; and a mandatory special
19 assessment of \$25.

20 8. Defendant understands that supervised release is a
21 period of time following imprisonment during which defendant will
22 be subject to various restrictions and requirements. Defendant
23 understands that if defendant violates one or more of the
24 conditions of any supervised release imposed, defendant may be
25 returned to prison for all or part of the term of supervised
26 release authorized by statute for the offense that resulted in
27 the term of supervised release, which could result in defendant
28 serving a total term of imprisonment greater than the statutory

maximum stated above.

2 9. Although the conviction at issue is a misdemeanor,
3 defendant understands that, by pleading guilty, defendant may be
4 giving up valuable government benefits and valuable civic rights,
5 such as the right to vote, the right to possess a firearm, the
6 right to hold public office, and the right to serve on a jury.
7 Defendant understands that the conviction in this case may also
8 subject defendant to various other collateral consequences,
9 including but not limited to revocation of probation, parole, or
10 supervised release in another case and suspension or revocation
11 of a professional license. Defendant understands that
12 unanticipated collateral consequences will not serve as grounds
13 to withdraw defendant's guilty plea.

14 10. Defendant understands that, if defendant is not a
15 United States citizen, the conviction in this case may subject
16 defendant to removal, also known as deportation, which may, under
17 some circumstances, be mandatory. The Court cannot, and
18 defendant's attorney also may not be able to, advise defendant
19 fully regarding the immigration consequences of the felony
20 conviction in this case. Defendant understands that unexpected
21 immigration consequences will not serve as grounds to withdraw
22 defendant's guilty plea.

FACTUAL BASIS

24 11. Defendant and the USAO agree to the statement of facts
25 provided below. Defendant and the USAO also agree that this
26 statement of facts is sufficient to support a plea of guilty to
27 the charge described in this agreement but is not meant to be a
28 complete recitation of all facts relevant to the underlying

1 criminal conduct or all facts known to either party that relate
2 to that conduct.

3 The United States immigration laws provide that aliens who
4 are not lawfully admitted for permanent residence (commonly known
5 as "green card holders") and who do not have employment
6 authorization issued by an agency within the Department of
7 Homeland Security are not eligible for employment in the United
8 States. Accordingly, it is unlawful for an employer to hire and
9 to continue to employ such aliens.

10 From an unknown date until on or about December 2, 2010,
11 defendant was a manager at the 907 Club, which was located at 907
12 South Hill Street in Los Angeles. In his capacity as a manager,
13 defendant hired at least 20 female aliens to work at the 907 Club
14 as dance hostesses. At the time of hiring for each person,
15 defendant knew that each alien was not a permanent resident and
16 did not have authorization for employment in the United States.

17 After the aliens were hired, defendant allowed these aliens
18 to continue to work at the 907 Club, even though defendant knew
19 that under the immigration laws, the aliens were not authorized
20 to maintain employment. Defendant engaged in a pattern and
21 practice of hiring and continuing to employ aliens who were not
22 authorized for employment.

23 SENTENCING FACTORS

24 12. Defendant understands that in determining defendant's
25 sentence the Court is required to consider the factors set forth
26 in 18 U.S.C. § 3553(a)(1)-(7), including the kinds of sentences
27 available. Defendant understands that, after considering the
28 Section 3553(a) factors, the Court will be free to exercise its

1 discretion to impose any sentence it finds appropriate up to the
2 maximum set by statute for the crime of conviction.

3 13. Defendant and the USAO agree that, for the charged
4 offense, a Class B misdemeanor, the Sentencing Guidelines do not
5 apply. Defendant and the USAO also agree that if the Sentencing
6 Guidelines applied, defendant would fall within criminal history
7 category I.

8 14. Accordingly, defendant and the USAO agree and stipulate
9 that the appropriate sentence in this case is that defendant be
10 ordered to: (a) pay a special assessment of \$25 and a criminal
11 fine of \$10,000; (b) serve a sentence of three years probation,
12 which shall include 300 hours of community service, and under
13 terms to be set by the Court.

WAIVER OF CONSTITUTIONAL RIGHTS

15 15. Defendant understands that by pleading guilty,
16 defendant gives up the following rights:

- a) The right to persist in a plea of not guilty.
- b) The right to a speedy and public trial by jury.
- c) The right to the assistance of an attorney at trial, the right to have the Court appoint an attorney to defendant at trial. Defendant understands, however, despite defendant's guilty plea, defendant retains the right to be represented by an attorney -- and, if necessary, to have the Court appoint an attorney if defendant cannot afford one at any other stage of the proceeding.

26 a) The right to be presumed innocent and to have the
27 burden of proof placed on the government to prove defendant
28 guilty beyond a reasonable doubt.

1 e) The right to confront and cross-examine witnesses
2 against defendant.

3 f) The right to testify on defendant's own behalf and
4 present evidence in opposition to the charges, including calling
5 witnesses and subpoenaing those witnesses to testify.

6 g) The right not to be compelled to testify, and, if
7 defendant chose not to testify or present evidence, to have that
8 choice not be used against defendant.

9 h) Any and all rights to pursue any affirmative
10 defenses, Fourth Amendment or Fifth Amendment claims, and other
11 pretrial motions that have been filed or could be filed.

12 WAIVER OF APPEAL OF CONVICTION

13 16. Defendant understands that, with the exception of an
14 appeal based on a claim that defendant's guilty plea was
15 involuntary, by pleading guilty defendant is waiving and giving
16 up any right to appeal defendant's conviction on the offense to
17 which defendant is pleading guilty.

18 LIMITED MUTUAL WAIVER OF APPEAL OF SENTENCE

19 17. Defendant agrees that, provided the Court does not
20 impose a term of imprisonment, defendant gives up the right to
21 appeal all of the following: (a) the procedures and calculations
22 used to determine and impose any portion of the sentence; (b) the
23 term of imprisonment imposed by the Court; (c) the term of
24 probation or supervised release imposed by the Court, provided it
25 is within the statutory maximum; and (d) any of the following
26 conditions of probation or supervised release imposed by the
27 Court: the standard conditions set forth in General Orders 318,
28 01-05, and/or 05-02 of this Court; the drug testing conditions

1 mandated by 18 U.S.C. §§ 3563(a)(5) and 3583(d); and the alcohol
2 and drug use conditions authorized by 18 U.S.C. § 3563(b)(7).

3 18. The USAO agrees that, provided that all portions of the
4 sentence are at or below the statutory maximum specified above,
5 and the Court imposes the stipulated fine of \$10,000, the USAO
6 gives up its right to appeal any portion of the sentence.

7 RESULT OF WITHDRAWAL OF GUILTY PLEA

8 19. Defendant agrees that if, after entering a guilty plea
9 pursuant to this agreement, defendant seeks to withdraw and
10 succeeds in withdrawing defendant's guilty plea on any basis
11 other than a claim and finding that entry into this plea
12 agreement was involuntary, then (a) the USAO will be relieved of
13 all of its obligations under this agreement; and (b) should the
14 USAO choose to pursue any charge or any civil, administrative, or
15 regulatory action that was either dismissed or not filed as a
16 result of this agreement, then (i) any applicable statute of
17 limitations will be tolled between the date of defendant's
18 signing of this agreement and the filing commencing any such
19 action; and (ii) defendant waives and gives up all defenses based
20 on the statute of limitations, any claim of pre-indictment delay,
21 or any speedy trial claim with respect to any such action, except
22 to the extent that such defenses existed as of the date of
23 defendant's signing this agreement.

24 EFFECTIVE DATE OF AGREEMENT

25 20. This agreement is effective upon signature and
26 execution of all required certifications by defendant,
27 defendant's counsel, and an Assistant United States Attorney.

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BREACH OF AGREEMENT

2 21. Defendant agrees that if defendant, at any time after
3 the signature of this agreement and execution of all required
4 certifications by defendant, defendant's counsel, and an
5 Assistant United States Attorney, knowingly violates or fails to
6 perform any of defendant's obligations under this agreement ("a
7 breach"), the USAO may declare this agreement breached. Since
8 all of defendant's obligations are material, a single breach of
9 this agreement is sufficient for the USAO to declare a breach,
10 and defendant shall not be deemed to have cured a breach without
11 the express agreement of the USAO in writing. If the USAO
12 declares this agreement breached, and the Court finds such a
13 breach to have occurred, then: (a) if defendant has previously
14 entered a guilty plea pursuant to this agreement, defendant will
15 not be able to withdraw the guilty plea, and (b) the USAO will be
16 relieved of all its obligations under this agreement.

17 22. Following the Court's finding of a knowing breach of
18 this agreement by defendant, should the USAO choose to pursue any
19 charge or any civil, administrative, or regulatory action that
20 was either dismissed or not filed as a result of this agreement,
21 then:

22 a) Defendant agrees that any applicable statute of
23 limitations is tolled between the date of defendant's signing of
24 this agreement and the filing commencing any such action.

1 defendant's signing this agreement.

2 c) Defendant agrees that: (i) any statements made by
3 defendant, under oath, at the guilty plea hearing (if such a
4 hearing occurred prior to the breach); (ii) the agreed to factual
5 basis statement in this agreement; and (iii) any evidence derived
6 from such statements, shall be admissible against defendant in
7 any such action against defendant, and defendant waives and gives
8 up any claim under the United States Constitution, any statute,
9 Rule 410 of the Federal Rules of Evidence, Rule 11(f) of the
10 Federal Rules of Criminal Procedure, or any other federal rule,
11 that the statements or any evidence derived from the statements
12 should be suppressed or are inadmissible.

13 COURT AND PROBATION OFFICE NOT PARTIES

14 23. Defendant understands that the Court and the United
15 States Probation Office are not parties to this agreement and
16 need not accept any of the USAO's sentencing recommendations or
17 the parties' agreements to facts or sentencing factors.

18 24. Defendant understands that both defendant and the USAO
19 are free to: (a) supplement the facts by supplying relevant
20 information to the United States Probation Office and the Court,
21 (b) correct any and all factual misstatements relating to the
22 Court's sentencing determination, and (c) argue on appeal and
23 collateral review that the Court's sentencing determination is
24 not error. While this paragraph permits both the USAO and
25 defendant to submit full and complete factual information to the
26 United States Probation Office and the Court, even if that
27 factual information may be viewed as inconsistent with the facts
28 agreed to in this agreement, this paragraph does not affect

1 defendant's and the USAO's obligations not to contest the facts
2 agreed to in this agreement.

3 25. Defendant understands that even if the Court ignores
4 any sentencing recommendation, finds facts or reaches conclusions
5 different from those agreed to, and/or imposes any sentence up to
6 the maximum established by statute, defendant cannot, for that
7 reason, withdraw defendant's guilty plea, and defendant will
8 remain bound to fulfill all defendant's obligations under this
9 agreement. Defendant understands that no one -- not the
10 prosecutor, defendant's attorney, or the Court -- can make a
11 binding prediction or promise regarding the sentence defendant
12 will receive, except that it will be within the statutory
13 maximum.

NO ADDITIONAL AGREEMENTS

15 26. Defendant understands that, except as set forth herein,
16 there are no promises, understandings, or agreements between the
17 USAO and defendant or defendant's attorney, and that no
18 additional promise, understanding, or agreement may be entered
19 into unless in a writing signed by all parties or on the record
20 in court.

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1 PLEA AGREEMENT PART OF THE GUILTY PLEA HEARING

2 27. The parties agree that this agreement will be
3 considered part of the record of defendant's guilty plea hearing
4 as if the entire agreement had been read into the record of the
5 proceeding.

6 AGREED AND ACCEPTED

7 UNITED STATES ATTORNEY'S OFFICE
8 FOR THE CENTRAL DISTRICT OF CALIFORNIA

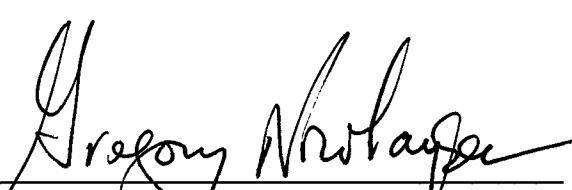
9 ANDRÉ BIROTTÉ JR.
10 United States Attorney

11 
12 _____
13 JAMES M. LEFT
14 Special Assistant United States Attorney

11/18/11
Date

14 
15 _____
16 HUGO RENE BAQUIAX
17 Defendant

11/18/11
Date

17 
18 _____
19 GREGORY NICOLAYSEN
20 Attorney for Defendant
21 HUGO RENE BAQUIAX

11/18/11
Date

CERTIFICATION OF DEFENDANT

DEFENDANT & COUNSEL: INITIAL THE TRUE STATEMENT AND CROSS-
OUT THE OTHER:

(1) This agreement has been read to me in Spanish, the language I understand best.

OR:

(2) I am fluent in English and have carefully read this agreement in its entirety.

9 I have had enough time to review and consider this
10 agreement, and I have carefully and thoroughly discussed every
11 part of it with my attorney. I understand the terms of this
12 agreement, and I voluntarily agree to those terms. I have
13 discussed the evidence with my attorney, and my attorney has
14 advised me of my rights, of possible pretrial motions that might
15 be filed, of possible defenses that might be asserted either
16 prior to or at trial, of the sentencing factors set forth in 18
17 U.S.C. § 3553(a), of relevant Sentencing Guidelines provisions,
18 and of the consequences of entering into this agreement. No
19 promises, inducements, or representations of any kind have been
20 made to me other than those contained in this agreement. No one
21 has threatened or forced me in any way to enter into this
22 agreement. I am satisfied with the representation of my attorney
23 in this matter, and I am pleading guilty because I am guilty of
24 the charges and wish to take advantage of the promises set forth
25 in this agreement, and not for any other reason.

~~HUGO BENE BAQUIAX~~
~~Defendant~~

11/18/11

CERTIFICATION OF INTERPRETER

I, N/A, am fluent in the written and spoken English and Spanish languages. I accurately translated this entire agreement from English into Spanish to defendant HUGO RENE BAQUIAX on this date.

Interpreter

Date

CERTIFICATION OF DEFENDANT'S ATTORNEY

2 I am HUGO RENE BAQUIAX's attorney. I have carefully and
3 thoroughly discussed every part of this agreement with my client.
4 Further, I have fully advised my client of his rights, of
5 possible pretrial motions that might be filed, of possible
6 defenses that might be asserted either prior to or at trial, of
7 the sentencing factors set forth in 18 U.S.C. § 3553(a), and of
8 the consequences of entering into this agreement. To my
9 knowledge, no promises, inducements, or representations of any
10 kind have been made to my client other than those contained in
11 this agreement; no one has threatened or forced my client in any
12 way to enter into this agreement; my client's decision to enter
13 into this agreement is an informed and voluntary one; and the
14 factual basis set forth in this agreement is sufficient to
15 support my client's entry of a guilty plea pursuant to this
16 agreement.

GREGORY NICOLAYSEN
Attorney for Defendant
HUGO RENE BAQUIAX

Date

11/18/11